Signatures of Kitaev Interactions in the van der Waals Ferromagnet VI₃

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Materials manifesting the Kitaev model, characterized by bond-dependent interactions on a honeycomb lattice, can host exotic phenomena like quantum spin liquid states and topological magnetic excitations. However, finding such materials remains a formidable challenge. Here, we report high-resolution inelastic neutron scattering measurements performed on VI_3 , a van der Waals ferromagnetic Mott insulator, covering a wide range of reciprocal space. Our measurements unveil highly anisotropic magnetic excitations in momentum space. Through a comprehensive comparative analysis of various models that incorporate diverse symmetry-allowed magnetic interactions, we find the observed excitations are well captured by a model with a large bond-dependent Kitaev interaction. These results not only help to understand the intriguing properties of VI_3 , such as the pronounced anomalous thermal Hall effects and strong pressure or structure dependence of magnetism, but also open a new avenue for exploring Kitaev physics.

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The Kitaev model has intrigued the scientific community with its description of bond-dependent interactions between spins on a honeycomb lattice, resulting in quantum spin liquid ground states or topological magnetic excitations [1-6]. This model has sparked widespread interest in the search for materials that exhibit Kitaev interactions [4,7–15]. One particular avenue of investigation involves the role of spinorbit coupling in honeycomb magnets with bonds formed by edge-shared ligand octahedra, as it offers a promising pathway to realize the exotic phenomena predicted by the Kitaev model rooted in orbital spatial orientations [16]. Initially, research efforts were predominantly focused on 4d and 5dtransition metal honeycomb magnets [8-14,17,18], with a special emphasis on magnetic ions such as Ir⁴⁺ and Ru³⁺ that possess strong spin-orbit coupling. Recent studies have expanded the exploration of 3d transition metal compounds, particularly those containing Co²⁺ ions [15,19,20]. Nevertheless, the question of whether Kitaev interactions exist in cobalt magnets continues to be a topic of ongoing debate [21,22]. Furthermore, while most experimental investigations of Kitaev spin liquid materials have predominantly focused on antiferromagnetic systems exhibiting a zigzag order with S = 1/2, theoretical studies have suggested the potential existence of Kitaev spin liquids in higher-spin systems near ferromagnetic instabilities [23–26]. However, experimental studies in this direction have been scarce. Exploring the interplay between ferromagnetism and Kitaev physics may hold the potential to unlock new possibilities for the discovery and engineering of novel magnetic materials.

Recently, two-dimensional (2D) van der Waals (vdW) ferromagnets have attracted tremendous attention due to their potential for advancing 2D spintronic applications [27,28]. Among these materials, the newly discovered van der Waals ferromagnet VI3 stands out for its distinct behavior compared to other systems like CrI₃ which possess a nearly quenched orbital moment [29-34]. In VI₃, the electron configuration of V³⁺ ions results in partially filled t_{2a} orbitals, which makes spin-orbit coupling important for its magnetism. Indeed, neutron diffraction [32] and x-ray magnetic circular dichroism [33] measurements have revealed a substantial orbital moment in VI₃, with two V sites exhibiting different orbital occupations. Density functional theory (DFT) calculations support this observation by suggesting that the state with orbital moment is energy favorable over the orbital-quenched state [33,35].

More surprisingly, the Curie temperature of VI_3 increases from 50 to 60 K as the number of layers decreases toward the monolayer limit [36]. This contradicts the common expectation that lower dimensionality enhances fluctuations and lowers the magnetic transition temperature [27,28]. Furthermore, VI_3 displays a large anomalous thermal Hall effect, indicating the potential existence of topological magnetic excitations [37]. These intriguing characteristics of magnetism in VI_3 , combined with its remarkable sensitivity to structural distortion and external pressure [38,39], imply the presence of unusual magnetic interactions that are yet to be fully understood.

While previous studies utilizing inelastic neutron scattering in VI_3 have predominantly focused on investigating

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FIG. 1. Lattice structure, bond geometry of Kitaev interactions, and schematics of crystal field splitting in VI₃. (a) Lattice structure of VI₃. The V³⁺ and I⁻ ions are colored in red and purple, respectively. (b) Bond-dependent Kitaev interactions in the local coordinates of VI₃. The arrows marked with *x* (red), *y* (green), and *z* (blue) denote the Ising axes of corresponding V–V bonds, which are classified into *x*-type (red), *y*-type (green), and *z*-type (blue) bonds. (c) Reciprocal lattice and high-symmetry directions. (d) The ground state orbital occupation of two 3*d* electrons ($a_{1g}e'_{-1}^{1}$). The threefold degeneracy of the t_{2g} orbital is lifted by the trigonal distortion and spin-orbit coupling. (e) The high-energy orbital-quenched state with e'_{q}^{2} occupation.

the magnetic excitations near the Brillouin zone center Γ_1 , revealing two branches of dispersive excitations originating from two different V³⁺ orbital states [40], the magnetic excitation spectra in the vicinity of the zone boundary and in the neighboring inequivalent zone near Γ_1^* , which hold crucial information for unraveling the underlying magnetic interactions, remain unclear. A comprehensive analysis of these magnetic excitation spectra in the unexplored regions is essential for a complete understanding of the magnetic interactions in VI₃.

Here, we report the inelastic neutron scattering measurements on VI₃ in a wide range of Brillouin zones (see Supplemental Material [41] and Refs. [42–44] for the details of experimental methods). The magnetic V³⁺ ions form the honeycomb lattice with edge-sharing I⁻ octahedral at room temperature in VI₃ [Fig. 1(a)]. As the temperature decreases, VI₃ undergoes a structural distortion at $T_{s1} = 79$ K, followed by a ferromagnetic transition at $T_c = 50$ K, as depicted in Supplemental Material Fig. S6 [32,45–47]. With further cooling, a spin reorientation takes place ($T_{FM2} = 27$ K) accompanied by an additional tiny lattice distortion. Considering the minimal lattice distortions observed, we approximate the lattice as a honeycomb structure at low temperatures. Figure 1(c) illustrates the high-symmetry points and directions in the reciprocal space. Black dashed lines indicate the Brillouin zone boundaries. There are two inequivalent zones, which are centered at the $\Gamma_1(1, 1, 0)$ and $\Gamma_1^*(1, 0, 0)$ points, respectively.

Figures 2(a)–2(f) presents the spin excitation spectra at various energies, covering a wide range of the Brillouin zone at 5 K. Given the rather weak dispersion of magnetic excitations along the *L* direction (Fig. S1 in Supplemental Material [41]), the excitation spectra are integrated over $-3 \le L \le 3$. It is observed that the spin excitations originating from the Γ_1 point disperse outward and form a sixpointed star-shaped pattern above 5.5 meV. Interestingly, the excitations arising from the zone center Γ_1^* point exhibit a distinct pattern from that near the Γ_1 point and form a triangular-shaped pattern dispersing to the zone boundary.

To determine the detailed dispersion of the excitations, we present the excitation spectra in the E-Q space along the M-K- Γ_1 -K-M and M- Γ_1^* -M directions. In addition to the low-energy mode below 6.5 meV observed in Fig. 2, we have identified another high-energy mode above 6 meV [Figs. 3(a) and 3(c)]. The energy cuts reveal that the highenergy mode exhibits a significantly weaker intensity compared to the low-energy mode [Figs. 4(a)-4(c)]. The low-energy mode displays a spin gap of approximately 3.9 meV, which reduces to about 2 meV when the temperature rises above $T_{\rm FM2}$. Meanwhile, the dispersion of the high-energy mode remains mostly unaffected (Fig. S2 in Supplemental Material [41]). This suggests that the lowenergy mode corresponds to the spin wave of the ground state magnetic order, whereas the high-energy mode is associated with the excited orbital state. This interpretation aligns well with the DFT calculations, which also indicate that the energy of the large-orbital-moment state is lower than that of the orbital-quenched state [33,35]. Consequently, the lowenergy branch can be attributed to the ground state $a_{1a}e'^{-1}$ [Fig. 1(d)], while the high-energy mode is associated with the orbital-quenched $e_q^{\prime 2}$ state [33,35] [Fig. 1(e)].

Furthermore, it is observed that the low-energy mode exhibits anisotropic V-shaped dispersion near Γ_1^* [Fig. 3(c)]. Specifically, the dispersion is steeper on the high *H* side in the (*H*, 0, 0) direction, and a bend is observed at the low *H* side at around 5.5 meV. These characteristics of the anisotropic dispersion are also consistently observed and quantitatively confirmed in the constant energy cuts which illustrate the transformation of a single peak into two peaks with increasing energy, followed by the further broadening of the peak on the left-hand side [Figs. 4(d)–4(g)].

To understand the magnetic interactions responsible for the anisotropic spin excitation dispersion observed in VI₃, we performed a systematic exploration of various models with different magnetic interactions, such as the Heisenberg interaction, XXZ-type interaction, and symmetry-allowed Dzyaloshinskii-Moriya (DM) interaction. Our investigation revealed that they could not adequately



FIG. 2. Constant energy slices of magnetic excitations in VI₃ within the (H, K) plane at T = 5 K. (a)–(f) Measured constant energy slices with energy transfer E = 4.0, 4.5, 5.0, 5.5, 6.0, 6.5 meV which are integrated over $E \pm 0.5$ meV and $-3 \le L \le 3$. The incident neutron energy is $E_i = 15.1$ meV. (g)–(l) Constant energy slices at the specified energies generated through simulations using the *J*-*K*- Γ - Γ' -*A* model.



FIG. 3. Dispersion of magnetic excitation spectra of VI_3 at 5 K. (a) The momentum-dependent magnetic excitations along the M-K- Γ_1 -K-M path. (b) Simulated magnetic excitations along the M-K- Γ_1 -K-M path. The low-energy branch, originating from the high-orbital-moment state, is calculated using the $J-K-\Gamma-\Gamma'-A$ model with parameter specified in the main text. The high-energy branch, excited from the orbital-quenched state, is calculated using the parameters with J = -2.9 meV, A = -3.1 meV. Note that the magnetic exchange parameters associated with the highenergy mode cannot be unambiguously determined based on the available data. (c) The momentum-dependent magnetic excitations along the M- Γ_1^* -M path at 5 K. A distinct bend anomaly is observed at ~ 5.5 meV in the low-energy branch. (d) Simulated magnetic excitations along the $M-\Gamma_1^*-M$ path. (e) Magnetic excitation spectra measured along the Γ_3 -M- Γ_1^* -M- Γ_2^* -M- Γ_2 path at 5 K. (f) The simulated magnetic excitation spectra along the Γ_3 -M- Γ_1^* -M- Γ_2^* -M- Γ_2 path.



FIG. 4. Constant momentum (**Q**) and constant energy cuts of VI₃ magnetic excitation spectra. (a),(b) Constant **Q** cuts at $\Gamma_1(1, 1, 0)$ obtained from the 5 K data with incident neutron energy $E_i = 15.1$ and 7.7 meV, respectively. (c) Constant **Q** cuts at $\Gamma_1^*(1, 0, 0)$ obtained from the 5 K data with $E_i = 15.1$ meV. (d)–(g) Constant energy cuts along the M- Γ_1^* -M path with energy transfer E = 4.0, 4.5, 5.0, and 5.5 meV, respectively. The constant **Q** and constant energy cuts are fitted by Gaussian profiles with linear background. The error bars indicate 1 standard deviation.

capture the excitations observed in VI₃. Given that VI₃ exhibits a considerable orbital moment [32,33], similar to 4*d* or 5*d* Kitaev magnets, such as α -RuCl₃ [48], we recognized the need to incorporate anisotropic magnetic interactions such as the Kitaev and off-diagonal exchange interactions in the model. In materials featuring a honeycomb lattice and edge-shared ligand octahedra, the Kitaev interaction manifests as three kinds of bonds, each associated with bond-dependent Ising axes that are orthogonal to one another [Fig. 1(b)].

Remarkably, the incorporation of anisotropic magnetic interactions led to an effective description of the unusual

excitation spectra observed in the material. The Hamiltonian of the J-K- Γ - Γ' -A model, which we fitted to the excitation spectra of the low-energy magnon branch, is provided as follows:

$$H = \sum_{\langle i,j\rangle \in \alpha\beta(\gamma)} \left[J \mathbf{S}_{i} \cdot \mathbf{S}_{j} + K S_{i}^{\gamma} S_{j}^{\gamma} + \Gamma \left(S_{i}^{\alpha} S_{j}^{\beta} + S_{i}^{\beta} S_{j}^{\alpha} \right) \right. \\ \left. + \Gamma' \left(S_{i}^{\alpha} S_{j}^{\gamma} + S_{i}^{\gamma} S_{j}^{\alpha} + S_{i}^{\beta} S_{j}^{\gamma} + S_{i}^{\gamma} S_{j}^{\beta} \right) \right] + \sum_{j} A (\mathbf{S}_{j} \cdot \mathbf{n})^{2}.$$

$$(1)$$

Here, $\langle i, j \rangle \in \alpha\beta(\gamma)$ denotes the type of nearest-neighbor bonds and the Ising axes of Kitaev interaction ($\gamma = x, y, z$ in local coordinates; α and β are the other two orthogonal axes different from γ). *J*, *K*, (Γ , Γ'), and *A* denote the Heisenberg interaction, Kitaev interaction, two types of offdiagonal exchange interactions, and single-ion anisotropy, respectively. *n* denotes the direction of the easy axis, which is parallel to the magnetic moment direction of VI₃.

The simulated excitation spectra along the M-K- Γ_1 -K-M and M- Γ_1^* -M directions are depicted in Figs. 3(b) and 3(d), respectively. Considering the unique in-plane magnetic structure of VI₃ (Fig. S6 in Supplemental Material [41]), we took into account the presence of three magnetic domains, each characterized by different in-plane components of magnetic moments separated by 120 deg from one another, in our simulation. The V³⁺ magnetic form factor was also considered.

To conduct these simulations, we employed the SpinW program based on the linear spin wave theory. The parameters that yielded the best fit for our simulations are as follows: J = -1.04 meV, K = -7.8 meV, $\Gamma =$ 0.3 meV, $\Gamma' = -1.2$ meV, and A = -0.6 meV. The results demonstrate good agreement between the simulated magnetic excitation spectra obtained using this $J-K-\Gamma'-A$ model, and the experimental data along high-symmetry directions in reciprocal space [Figs. 3(a)-3(f)]. We note that the bend or inflection observed in the dispersion of the low-energy mode along the $M-\Gamma_1^*-M$ direction is also captured by our model [Figs. 3(c) and 3(d)]. When considering the magnetic excitation dispersion along the Γ_3 -M- Γ_1^* -M- Γ_2^* -M- Γ_2 path, the simulated excitation spectra [Fig. 3(f)] further reinforce the agreement with the experimental data [Fig. 3(e)]. In comparison, alternative models fail to explain the observed asymmetric dispersion for the low-energy mode (Figs. S3 and S4 in Supplemental Material [41]). In addition to the anisotropic low-energy mode, the high-energy mode above 6.5 meV originating from the orbital-quenched state was simulated by the J-A model considering the nearest-neighbor Heisenberg interaction and the single-ion anisotropy [Figs. 3(b), 3(d), and 3(f)].

Furthermore, the triangular-shaped momentum structure of the low-energy magnetic excitations near the zone center $\Gamma^*(1,0,0)$ [Figs. 2(a)–2(f)] exhibits remarkable

concordance with the simulation of the *J*-*K*- Γ - Γ '-*A* model [Figs. 2(g)–2(1)]. In contrast, alternative models show a distinct ringlike pattern (Fig. S5 in Supplemental Material [41]). Our simulations incorporating the Kitaev interaction also replicate the distinctive six-pointed star-shaped pattern observed near another zone center at $\Gamma_1(1, 1, 0)$.

Nonetheless, we have observed some intensities filling in the center of $\Gamma_1(1, 1, 0)$ [Fig. 2(f)]. These intensities may arise from magnon damping or the presence of continuum excitations at the center, phenomena not explicitly accounted for in simple linear spin wave theory calculations. Interestingly, a similar six-pointed star-shaped scattering pattern with intensities filled in the center was also reported in Kitaev spin liquid material α -RuCl₃ near the zone center Γ_1 [13,14]. In the case of α -RuCl₃, the center continuum excitations are attributed to fractionalized Majorana fermion excitations, which could be linked to the observed thermal Hall effect [17].

The combination of our inelastic neutron scattering data and simulations presents compelling evidence for the existence of Kitaev interaction in VI₃, shedding light on the understanding of its other unusual behaviors. Particularly, VI₃ exhibits a significant anomalous thermal Hall effect, which is an order of magnitude higher than other ordered magnets [37]. This effect was previously attributed to topological spin excitations induced by DM interactions [37]. However, our findings suggest that the dominant Kitaev interaction dictates the low-energy Hamiltonian of VI₃, which could also induce anomalous thermal Hall effect [5,6,49]. This distinguishes VI₃ from orbital-quenched CrI₃, where DM interactions play a pivotal role [34]. The presence of Kitaev interactions in VI₃ may also account for the anisotropic thermal dynamic properties within the a-b plane [46], as opposed to the isotropic thermal dynamic properties usually resulting from conventional magnetic interactions [22].

Another intriguing phenomenon is the anomalous increase in the transition temperature $(T_{\rm C})$ of VI₃ in the monolayer limit [36]. This contradicts DFT calculations based on Heisenberg-type interactions and anisotropy, which predict that the $T_{\rm C}$ of the monolayer should be half of its bulk value [50]. Interestingly, a similar behavior of enhanced magnetic ordering temperature was also observed in the monolayer Kitaev magnet α -RuCl₃ [51]. These collective findings point to the notable role that Kitaev interactions may play in the monolayer form. To gain a deeper understanding of this phenomenon, further calculations that fully consider the predominance of Kitaev interactions are required. Recently, evidence of Kitaev interactions was also found in geometrically frustrated triangular-lattice quasi-2D vdW magnets FeI_2 and CoI_2 [52–54], and it will be interesting to explore whether similar phenomena occur in the monolayer forms of these systems.

Unlike intensively studied Kitaev spin liquid materials, such as α -RuCl₃ and Na₂IrO₃, with the effective S = 1/2

spins and zigzag antiferromagnetic order [8,12,55,56], VI₃ possesses the effective S = 1 spins, since the threefold degeneracy of two-electron orbital states is lifted due to the presence of the trigonal distortion and spin-orbit coupling [Fig. 1(d)] [33,35]. Although the S = 1 Kitaev model is not exactly solvable like its S = 1/2 counterpart, theoretical and numerical works have shown that it can lead to a Kitaev spin liquid phase even in the presence of some non-Kitaev interactions [23,25,26]. For instance, Fukui et al. [26] proposed a parameter range for the S = 1 Kitaev spin liquid phase to exist, roughly $0.7150 \leq \xi \leq 0.7775$. Interestingly, VI₃ falls within this range, with a parameter value of $\xi =$ 0.7289 situated near the ferromagnetic phase boundary. Here we denote $K = \sin(2\pi\xi)$ and $J = \cos(2\pi\xi)$. Similar phase diagrams have also been obtained by other calculations [25]. These calculations were conducted within the framework of the Kitaev-Heisenberg model, without taking into account off-diagonal exchange interactions and single-ion anisotropy. When these factors are considered, they tend to push the system toward the ferromagnetic phase [57,58]. This intriguing observation hints at the role of frustration induced by Kitaev interactions as the key factor behind VI₃'s magnetism tunability under external pressure and strain [38,39]. Consequently, it opens up exciting possibilities for inducing the Kitaev spin liquid state and investigating its associated novel phenomena using various tuning methods. These methods include the application of magnetic fields, strain engineering, pressure control, and chemical substitutions on heavy ligands of the anion, which can effectively modulate the strength of spin-orbit coupling and Kitaev interaction [24]. These results position vanadium magnets as a new platform for the exploration of Kitaev physics, thereby expanding the field for exploring emerging quantum phenomena.

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